EXPRESS MAIL NO. EV 384065580 US

PATENT APPLICATION Docket No: 15689.45.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
	Tomoyuki Ohtani et al.)
Serial No.:	Not Yet Assigned)
Filed:	March 26, 2004)
For:	MOBILE COMMUNICATION SYSTEM, MOBILE STATION AND DIVERSITY HANDOVER BRANCH CONTROL METHOD)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession,

custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English

reference, if any, are also enclosed.

Disclosed

<u>Under 37 C.F.R. § 1.98(d)</u>

The following listed references are not enclosed because, under 37 C.F.R. § 1.98(d), they

were previously cited by or submitted to the Office in application number 09/230,956, which is

relied upon for an earlier filing date under 35 U.S.C. § 120.

1) US Patent 5,267,261

2) US Patent 5,625,876

3) US Patent 5,200,957

DATED March 26, 2004.

Respectfully submitted,

ADRIAN J. LEE

Attorney for Applicant Registration No. 42,785

Customer No. 022913

AJL: ds

DS0000001846V001

2

·	. INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. 15689.4		SERIAL NO. Not Yet Assigned			
					Tomoyuki Ohtani, et al.					
					FILING March 26, 2004		GROUP			
_			U.	S. PATENT	DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	1	5,267,261	11/30/1993	Blakeney, II et al. Gilhousen et al.		375	375 1		3/5/1992	
	2	5,625,876	4/29/1997			455	33.3	3/13/1995		
	3	5,200,957	4/6/1993	Dahlin		370	370 100.1		7/22/1991	
					-					
-										
						:				
·										
	•		FORI	EIGN PATE	NT DOCUMENTS	•				
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION		
	4	WO98/30052	7/9/98	PCT				YES	NO	
	5	WO98/29970	7/9/98	PCT				1	<u></u>	
	6	WO95/32594	11/30/95	PCT				1		
	7	EP0896442A1	2/10/99	ЕРО			-	J		
	8	EP0902594A1	3/17/99	ЕРО				1		
	l	OTHER DOCUM	MENTS (Includia	ng Author	, Title, Date, Pertin	ent Pages. Etc.		<u> </u>	l	
		"HANDOVER EQUI	<u>`</u>					Æ	-	

"HANDOVER EQUIPMENT AND ITS CONTROL METHOD IN THE NEXT GENERATION MOBILE COMMUNICATIONS SYSTEMS" presented by Hisashi Shimizu et al. at 1997 General Meeting of the Institute of Electronics, Information and Communication Engineers.

"DIVERSITY HANDOVER BRANCH CONTROL FOR IMT-2000" presented by Tomoyuki Otani et al. at 1997 General Meeting of the Institute of Electronics, Information and Communication. Engineers.

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				ATTY DOCKET NO. 15689.45	SERIAL NO. Not Yet Assigned					
	INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Tomoyuki Ohtani, et al.					et al.				
					FILING March 26,		GROUP			
			U.	S. PATENT	DOCUMENTS					
*EXAMINER		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
INTEAL								IF APPROP	NATE	
_	-						-	 		
	_						 	<u> </u>		
	ļ							<u> </u>		
				<u> </u>						
-								 		
								 		
				ļ	· · · · · = · · · · · · · · · · · · · ·			 		
•							<u> </u>			
	T	Y	FOR	EIGN PATE	NT DOCUMENTS			, 		
		DOCUMENT NUMBER DA		DATE COUNTRY			SUBCLASS	SS TRANSLATION YES NO		
_	12	WO 97 08911	3/6/1997	PCT						
	13	2,141,445	12/22/1994	CANAI)A					
·										
			 							
		OTHER DOCUM	ENTS (Includii	na Author	, Title, Date, Pertine	ent Pages. Etc		<u> </u>	<u> </u>	
_ .		"THE CLASSIFICAT presented by Takaaki	· · · · · · · · · · · · · · · · · · ·					d Communi	ication	
		Engineers.	Satu et al. at 1991	General	reeting of the Institute	or Electronics, 1	mormation and	i Commun	Cation	
							-			
XAMINER				DATE CONSIDERED						
		al if reference considered, wheth			ance with MPEP 609; D	raw line through o	itation if not in c	onformance	and not	

Form PTO-A820 (also form PTO-1449)